



07-30-04

3624\$
4

RECEIVED

AUG 4 - 2004

GROUP 3600

PATENTS
CF/013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Glenn D. Kirwin et al.
Application No.: 09/745,651 Confirmation No.: 1536
Filed : December 22, 2000
For : SYSTEMS AND METHODS FOR PROVIDING A
TRADING INTERFACE
Group Art Unit : 3624
Examiner : Charles R. Kyle

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

New York, New York
July 28, 2004

TRANSMITTAL LETTER

Sir:

Transmitted herewith: ☐ a Preliminary Amendment; ☒ a Reply to Office Action; ☒ a Third Supplemental Information Disclosure Statement (in duplicate); ☒ Form PTO-1449 (in duplicate); ☒ a copy of two cited references; and ☒ Limited Recognition Under 37 CFR 10.9(b) to be filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.

☐ A fee for additional claims is required.

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	32 - 36	* = 0	X \$ 18	= \$ 0.00
INDEPENDENT CLAIMS	2 - 3	** = 0	X \$ 86	= \$ 0.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM			+ \$290	= \$ 0.00

* If less than 20, insert 20. TOTAL \$ 0.00
** If less than 3, insert 3.

[] A check in the amount of \$_____ in payment of the
filing fee is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any
additional filing fees required under 37 C.F.R. § 1.16, in
connection with the paper(s) transmitted herewith, or
credit any overpayment of same, to deposit Account
No. 06-1075. A duplicate copy of this transmittal letter
is transmitted herewith.

[] Please charge \$_____ to Deposit Account No. 06-1075 in
payment of the filing fee. A duplicate copy of this
transmittal letter is transmitted herewith.

EXTENSION FEE

- [X] The following extension is applicable to the Response filed herewith; [] \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); [] \$420.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); [X] \$950.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); [] \$1,480.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); [] \$2,010.00 within fifth month pursuant to 37 C.F.R. § 1.136(a).
- [X] A check in the amount of [] \$110.00; [] \$420.00; [X] \$950.00; [] \$1,480.00; [] \$2,010.00 in payment of the extension fee is transmitted herewith.
- [X] The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted, ,




Hassan Albakri
(Limited Recognition)
Agent for Applicants
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1105
Tel.: (212) 596-9000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT APPLICATION

Applicants : Glenn D. Kirwin et al.
Application No.: 09/745,651 Confirmation No.: 1536
Filed : December 22, 2000
For : SYSTEMS AND METHODS FOR PROVIDING A
TRADING INTERFACE
Group Art Unit : 3624
Examiner : Charles R. Kyle

"EXPRESS MAIL" mailing label number EV132190618US
Date of Deposit July 28, 2004

I hereby certify that the papers listed below
are being deposited with the United States Postal Service
"EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under
37 C.F.R. 1.10 on the date indicated above and are addressed
to the Commissioner for Patents, P.O. Box 1450, Alexandria,
Virginia 22313-1450.


Lillian Garcia

Transmittal Letter (in duplicate);
Reply to Office Action;
Third Supplemental Information Disclosure Statement (in
duplicate);
Form PTO-1449 (in duplicate);
Copies of two (2) cited references;
Check for \$950.00 (three-month extension fee);
Limited Recognition Under 37 CFR 10.9(b); and
Return Receipt Postcard

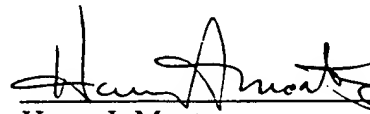
**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATE PATENT AND TRADEMARK OFFICE**

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Hassan Albakri is hereby given limited recognition under 37 CFR § 10.9(b), as an employee of the law firm of Fish & Neave, to prepare and prosecute patent applications wherein the patent applicant is a client of the law firm of Fish & Neave, and a registered practitioner, who is a member of the law firm of Fish & Neave, is the practitioner of record in the applications. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Hassan Albakri ceases to lawfully reside in the United States, (ii) Hassan Albakri's employment with the law firm of Fish & Neave, ceases or is terminated, or (iii) Hassan Albakri ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such limited recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: December 6, 2004

A handwritten signature in dark ink, appearing to read "Harry I. Moatz", is written over a horizontal line.

Harry I. Moatz

Director of Enrollment and Discipline